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3	Inter-model variability and mechanism attribution of central and southeastern U.S. anomalous cooling in the 20 th century as
4	simulated by CMIP5 models
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16 Abstract

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Many parts of the central and southeastern U.S. cooled by up to 2 °C during the 20th century, while global mean temperature rose by 0.6 °C (0.76 °C from 1901-2006). Although other regions such as central China and central South America also experienced a cooling trend, the so-called "warming hole (WH)", the cooling is much weaker than in the U.S. WH. Studies have shown that the Pacific Decadal Oscillation (PDO) and the Atlantic Multidecadal Oscillation (AMO) may be responsible for this cooling, while other works reported that regional scale processes like the low-level jet and evapotranspiration contribute to the abnormity. Only a few of 53 simulations by CMIP3 (phase 3 of the Coupled Model Intercomparison Project) models could reproduce the cooling. This study analyzes newly available simulations in CMIP5 (phase 5 of CMIP) experiments from 27 models, totaling 173 ensemble members. We found that (i) the observed cooling occurred largely in the southeastern U.S. in the 3rd guarter and central U.S. in the 4th guarter of the 20th century, (ii) while a large number of models have difficulty in reproducing the cooling, those with the highest resolutions tend to capture the WH-like summer cooling in the central U.S., (iii) the simulations with forcing only by greenhouse gases (GHG) produced strong warming in the central U.S. that may have compensated the cooling, and (iv) the all-forcing historical experiment compared with the natural-forcing-only experiment showed a well-defined WH in the central U.S., implying that land surface processes contributed to the cooling in the 20th century.

1. Introduction

The Earth's surface has experienced unprecedented warming since the Industrial Revolution began in the 1850s. The global mean surface air temperature over land rose 0.76°C during 1901-2006 (IPCC, 2007). This global warming has been neither spatially uniform nor persistent in time. The warming is faster in the high latitudes than in the tropics and greater in winter than summer, largely due to snowmelt-albedo feedbacks (Holland and Bitz, 2003). It is also widely reported that the nighttime temperature rose more than the daytime temperature because of cloud cover and other feedback processes (Karl et al., 1993). Furthermore, high mountain regions warmed more than low-lying regions (Liu and Chen, 2000).

The above general features are well-documented, robust features of climate warming. On regional scales, temperature changes often deviate from these patterns. There are some special geographical regions where a lack of warming or even a cooling has occurred. The central and southeastern U.S. (CSE) actually cooled in the 20th century, most notably during the second half of the century, while global mean temperature warmed at an increasing rate. The cooling or lack of warming regions is referred to as "warming holes (WHs)" (Pan et al., 2004; Kunkel et al., 2006). Attention has been paid to this abnormal cooling trend both observationally and in modeling (Tett et al., 2002; Portmann et al., 2009; Meehl et al., 2012). Kalnay and Cai (2003) have attributed this cooling trend to land surface processes by reconciling the temperature difference between upper-air and surface observations. Combining observations with a regional climate model's results, Pan et al., (2004) suggested that regional hydrological processes coupled with the low-level jet contribute to the cooling. Other studies have attributed the cooling to the internal dynamics (Kunkel et al., 2006; Liang, et al., 2006). A number of modeling studies have attributed the mechanisms for this abnormal trend to large-scale

decadal oscillations such as the Pacific Decadal Oscillation (PDO) and the Atlantic Multidecadal Oscillation (AMO) (Robinon et al., 2002; Kunkel et al., 2006; Wang et al., 2009; Meehl et al, 2012).

While seeking reasons why only this part of the U.S. experienced cooling, Pan et al. (2009) found other similar WHs: one in south-central China and the other in central South America. Some features common to these WHs are their presence (1) on the eastern slope of major mountain ranges where the climatic warming gradient exists, (2) at the low-level jet termini where warm-moist air converges, and (3) in intense agricultural regions where the deep crop roots can extract soil moisture.

The mid-continental cooling goes against the common belief that the middle of continents, far from oceans, should warm faster than coastal regions. Also, it was a challenge for the great majority ofmodels in phase 3 of the Coupled Model Intercomparison Project (CMIP3) models to reproduce the WHs (Kunkel et. al., 2006). It is of interest to see how well the phase 5 (CMIP5, Taylor et al., 2012) models reproduce WHs in the 20thcentury as well as how they predict their fate in the 21st century. The purposes of this paper are to (1) see how well CMIP5 models reproduce this abnormal cooling, (2) find what the common features of the models are that simulated WHs well or vice versa, and (3) determine what mechanisms are responsible for the WHs as simulated in the new generation of the atmosphere-ocean coupled general circulation models (AOGCMs). A companion paper (Kumar et al. 2012) investigates other aspects of the WH trends in North America. More general results regarding North American climate are reported in Sheffield et al. (2012a and 2012b) and Maloney et al. (2012).

2. Model and data

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The design of CMIP5 includes the new short-term decadal experiments hindcasting the interannual variability, emission(versus concentration) driven Earth system model (ESM) simulations exploring the sensitivity of the carbon cycle feedback, and timeevolving land use runs allowing for the dynamic vegetation feedback (Taylor et al., 2012). The core long-term CMIP5 simulations include historical and projection experiments. The historical experiments include all-forcing (historical), greenhouse gas forcing only (historicalGHG), natural forcing only (historicalNat), and other specific forcing (such as aerosols). The projection experiments consist of four new representative concentration pathways (RCP)emission scenarios RCP2.6 through 8.5, representing anthropogenic radiative forcing stabilizing at 2.6-8.5 W m⁻² by 2100 (Moss, 2010). In this study, we focus on the all-forcing historical and and RCP4.5 experiments, with limited exploration of the historical GHG and historical Nat runs. The historical runs are forced by observed atmospheric composition changes (reflecting both anthropogenic and natural sources). The temporal span of the historical experiment covers 1851-2005, and thus is sometimes referred to as "20th century" simulations (Taylor et al, 2012). The RCP4.5 scenario assumes the anthropogenic forcing will essentially level off at 4.5 Wm⁻² around the mid-21st century and represents the intermediate range of the four scenarios. RCP4.5 runs cover 2005-2100 (some model groups extend it to 2300, see Thomson et al., 2001 for detail).

In this study, we analyze all available model ensemble members presently available in the *historical* and *RCP4.5* experiments, totaling 27 models and 163 members. The *historical* experiment has 25 models available with 100 members and the *RCP4.5* experiment encompasses 22 models with 63 members. Twenty out of 25 models in the *historical* experiment and 8 out of 22 models in the *RCP4.5* experiments have multiple ensemble members of 2 to 16 (Table 1). Monthly mean of daily maximum and minimum surface temperatures from all models were mapped to a 1°x1° grid, the highest resolution of the models. The linear trends are computed based on a least squares regression. The horizontal resolution of the models ranges from 3.75° to 1.0°.

The observed daily temperatures used in this study were obtained from the Global Historical Climatology Network (GHCN) as compiled into monthly means and interpolated onto regular latitude/longitude grids by the Climate Research Unit (CRU). The data set includes monthly mean surface daily maximum and minimum temperatures on a $0.5^{\circ} \times 0.5^{\circ}$ latitude/longitude grid over land for the period 1901-2009 (New et. al., 2000; Mitchell and Jones, 2005; Vose et. al., 2005). Since data stations before the 1950's were somewhat sparse (New et al., 2000 for details), our analyses mainly focus on the temperature changes after the 1950s, although prior temperatures are also used to determine longer-term trends.

3. Observed cooling characteristics

¹ Most studies of GCM intercomparison use mean surface air temperature, masking the difference in maximum and minimum temperatures.

Since temperature variations are not monotonic but fluctuate, the trend values will depend on the evaluation periods. While longer periods can give larger sample sizes, they may obscure underlying physical processes during different periods. For example, the second half of the 20th century, an often-used period of recent studies (Wang et al., 2009), includes a period of global slight cooling before 1975 and a strong warming period after that. To reduce the effect of arbitrarily choosing the lengths of periods, we evaluated trends in three durations: 100 year (1901-2000), 50 year (1951-2000), and 25 year (1951-1975 and 1976-2000). The 100-year duration represents the longest available data set and the 50-y period corresponds to the data-rich period. The separation of the 2nd half of the century into two equal 25-y periods is not chosen for simplicity, but is based on following considerations: (1) The year 1976 is around the turning point of two climate epochs when the PDO shifted from a negative to a positive phase (Miller et al., 1994); (2) The global temperature trend changed from a slight decrease to a strong increase around 1975 (Folland et al., 2002); and (3) The year 1979 was the beginning of the satellite era when the incorporation of satellite data introduces some discontinuity in data sets (Kalnay and Cai, 2003).

During the 20th century, the southeastern and central U.S. cooled up to 2°C (0.2 °C dec⁻¹), while most regions of the U.S. warmed slightly (Fig. 1). On the half-century scale (1951-2000), the cooling along the southeast coast is more scattered, while summer cooling expanded in the central U.S. On the quarter century scale (1976-2000), the summer WH became more concentrated in the central U.S. with a cooling rate of over 0.6 °C dec⁻¹. It should be pointed out that this decrease of up to 1.5 °C for the 25-year period (0.6°C dec⁻¹ for 2.5 decades) occurred when the global warming peaked. Almost all the global warming in the 20th century occurred in this period (IPCC. 2007). Compared to summer when the WH and global temperature trends went in opposite directions, the winter

temperature in the whole eastern U.S. warmed by more than 3°C during the period, reflecting the sharp difference in forcing mechanisms between summer and winter temperatures.

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Figure 2 shows the daily maximum temperature (Tmax) trend in the 2nd half of the 20thcentury corresponding, respectively, to the global cooling and warming periods. During 1951-75, when global mean temperature actually decreased slightly, the southeast coastal region (SE) experienced sharp cooling of over 0.6°C dec⁻¹ along a wide swath in summer. During winter, the extensive cooling spread over the central U.S. This seasonal cooling pattern is opposite of both the 2nd half of and the whole 20th century when the summer cooling was situated in the central U.S., while winter cooling was along the SE. The Tmax trend pattern in1976-2000 was similar to that of the Tmean (mean of Tmax and Tmin in Fig. 1). Interestingly, from 1951-1975, when the PDO index was negative (Nantau et al., 1997), the SE coastal region experienced sharp cooling, which seems to run against the established, negative correlation between PDO and coastal temperature. During the last 25 years (1976-2000), which coincides with the peak global warming period, the cooling shifted to the central section of the U.S. with cooling up to 0.6 °C dec⁻¹. Also during the 1976-2000 period, the summer and winter trends are in opposite directions, with sharp warming in winter. An EOF analysis of the 50-year (1951-2000) period shows two leading modes corresponding to the southeast(1st) and central (2nd) cooling, explaining more than 50% combined variance (Pan et al., 2009).

One of global climate change signals is the widespread decline of daily temperature range (DTR). This is true especially in winter starting from the 1950s and is the result of more rapid nocturnal warming than daytime warming (Karl et al. 1993; Dai et al 1999; Voss et al 2005). Global annual Tmin over land increased 0.20 °C dec⁻¹ while Tmax increased 0.14 °Cdec⁻¹) from 1950–2004,

resulting in a DTR decrease ($-0.07^{\circ}\text{Cdec}^{-1}$) (Voss et al., 2005). During the same period over North American land (175-60°W, 15-75°N), summer Tmax and Tmin increased 0.07 and 0.12 °C dec⁻¹, respectively, resulting in -0.05 °C in DTR change. A similar decrease ($-0.06 \, ^{\circ}\text{Cdec}^{-1}$) occurred in winter. Over the central and southeast U.S. (105-80 °W, 30-45 °N), summer Tmax actually decreased sharply ($-0.13 \, ^{\circ}\text{Cdec}^{-1}$), while Tmin increased slightly ($0.05 \, ^{\circ}\text{Cdec}^{-1}$), yielding a DTR decrease of 0.18 °Cdec⁻¹. Winter DTR also decreased by 0.13 °Cdec⁻¹.

Figure 3 shows the time series of surface temperature over central (CN) and southeast (SE) regions delineated in Fig. 2. The U.S. temperature (both CN and SE) of the 20th century is characterized by the hot Dust Bowl period in 1930, followed by slight cooling until the mid-1970s, and fast warming after that. This overall pattern is similar to the global mean, but with large fluctuations. Separating temperatures into summer and winter as well as Tmax and Tmin shows that the U.S. temperatures deviate from the global ones notably. In terms of summer daytime Tmax, the 1930s is still over 1°C warmer than other decades, including the globally warmest 2010s. On the other hand, winter Tmin was greatest in the later 1990s and early 2000s. Globally, the temporal variations of Tmax and Tmin during winter and summer follow similar patterns (dotted blue lines), while those of the U.S. (both CN and SE) deviate from each other notably. Winter Tmin trends follow the global mean quite well (lower right panel, Fig.3). However, the Tmax trends deviate from the global mean (top left). During 1985-1995, when global warming was quite fast, the U.S. Tmax decreased sharply, indicative of the complex forcing in daytime during summer.

The time-latitudinal cross section along the red line in Fig. 2 shows the daytime warming in the 1930s Dust Bowl and cooling after the 1960s, peaking in the 1990s during summer (top left panel, Fig. 4). The summer Tmin warming is mostly concentrated in the

1930s and 1990s at higher latitudes (top right panel). In winter, the Tmax and Tmin patterns are quite similar with warming in the 1930s and cooling in the 1960s-80s, as both are more likely controlled by large-scale dynamics as compared with summer when local convection plays a role. The warming pattern, especially in the SE coastal region correlates well with the PDO index (bottom panel, Fig. 4)

4. CMIP5 model simulated 20th century cooling – *historical* experiment

Here we present the model simulated temperature variations from 100 members of 25 models available in the *historical* experiment. In our analysis, the ensemble mean of each model is first computed if the model has multiple members and then each model contributes equally to the grand total mean of the 25 models. In other words, each model contributes to the ensemble mean with equal weight regardless if the model has single or multiple members.

a. Model ensemble means

On the 100-year scale, the 25-model means seem to mimic the pattern of the SE cooling by showing slightly less warming than surroundings. In winter, the region warms by $< 0.1^{\circ}$ C dec⁻¹, which is much less than the warming in the rest of country (Fig. 5). The presence of a WH is less evident in summer than winter. On the 50-y scale (1951-2000), the models showed a WH-like feature during summer in the central U.S. Again the model did not show the absolute cooling as observed, but rather they showed a relative WH, i.e., lack of warming. The winter pattern shows a clear north-south (N-S) warming gradient as observed. On the quarter-century scale

(1975-2000), the models simulated more extensive warming in both summer and winter, although a swath of slightly less warming is in the northern central U.S. in summer. Figure 6 shows Tmax in the globally cooling 1951-1975 period was simulated better than globally warming period 1976-2000 period as compared with observations in Fig.2, meaning that the U.S. cooling is easier to be captured when global trend is also cooling.

While there are different ways to classify models, horizontal resolution is a convenient one. The horizontal resolution varies by about a factor of almost 4 among models. We chose six models (29 members) with the highest horizontal resolution (ACCESS, CanCSM, CCSM4, CNRMS, CSIRO, and MRI-CGCM3). Figure 7 shows the 6-model means of Tmax and Tmin during the 2nd half of the 20th century. These 6 models with the highest resolutions captured the WH and even over-predicted the WH somewhat. The WH was most evident during summer in terms of Tmax with reasonable position and magnitude. Seasonality and Tmax/Tmin asymmetry were also reproduced well.

Since the cooling in the SE is more persistent than CN over the whole 20th century (Fig. 1, top panels), we will focus our attention on the SE cooling in this section. The time series of modeled SE temperatures show that models generally captured the overall trend: slow rising before the 1940s, slight decreasing between the 1940s-1970s, and finally fast warming after the 1970s, but the fluctuation (i.e., inter-annual variability) is smoother than the observations (Fig. 8). One interesting note is that the spread (grey shaded area) simulated among different models in summer is narrower than winter. The best agreement among the models is Tmin in summer where model spread brackets the observed trend all the time, partly because of smaller fluctuations in the observations. There are times during the 1960s-1980s in winter when the observed temperature falls below the model spread when the SE temperature is

low. The summer model spread is narrower during the cooling period (1940s-1970s) and wider in warming periods before and after that. The model spread widened after around the 1970s, especially in summer, when global mean temperature started to rise rapidly after slight cooling in the 1940s.

b. Inter-model variability

The model ensemble mean represents all individual models that simulated diverse temperature patterns. As an example, Fig. 9 depicts the 25 individual simulations of Tmax during the 50-year period (1951-2000). About half of the models simulated a variant of the WH pattern (less warming) in the general areas of the central-eastern U.S. A couple of models simulated an excessive warming maximum around the WH region. As expected, within individual model families, the trend patterns are more similar. For example, the HadGEM2 family consisting of three models/versions (-AO, -CC,-ES) generally simulated Mid-Atlantic warming and Pacific Northwest cooling; the GISS-E2 family consisting of two models (-H, -R), simulated cooling in the western mountains and slightly more warming along the East Coast. The only exception to the model family similarity is GFDL-ESM2 (-G, -M) whose two versions simulated opposite trends in the southeastern coastal region.

To quantify the model skill in reproducing the WH phenomena, Fig. 10 shows the trends of 25 models in summer and winter for Tmax and Tmin averaged over the SE WH region. On the century scale in summer (top left panel), the observed cooling only occurred in summer during daytime (rightmost red bar denoted "O" on the X-axis). Six out of 25 models simulated negative trends ranging from 0.005-0.04°C dec⁻¹ in summer. The remaining models simulated warming trends from 0.001-0.24 °C dec⁻¹. The all-

model mean is +0.04°C dec⁻¹. All models except two simulated positive trends of Tmin. The winter temperatures in the SE WH region warmed during the century by 0.005-0.044°C dec⁻¹ (top right panel). Most models simulated positive trends, while five models showed cooling trends.

On the 50-year scale (bottom panels), the observed cooling reached 0.4 (Tmin) – 0.6 (Tmax) °C dec⁻¹ both in summer and winter. The majority of models simulated warming on both Tmax and Tmin with an all-model mean of +0.01°C dec⁻¹ (donated "M" on X-axis). Only three models produced negative trends of Tmax with negligible magnitudes as compared with observations (bottom left). In winter, 6 models simulated sizeable negative trends of temperatures. The observed DTR trend over the WH region was -0.25 to -0.3°C dec⁻¹ during 1951-2000 as indicated in Fig. 2, but was negligible or even positive in winter during the whole century. The ensemble mean DTR trend was negligibly small (<0.05 °C dec⁻¹) compared to the observed trend of up to 0.3 °C dec⁻¹.

One advantage provided by the CMIP5 experiments versus the CMIP3 is that the horizontal resolution of the atmospheric components of the AOGCMs has improved. The roles of model spatial resolution have been examined in weather and climate models both on regional and global scales. Its importance has been demonstrated by dynamically downscaling in climate simulations (Takle, et al., 1999; Means, et al., 2009). Hack et al. (2006) found CAM3 simulations at T85 (\approx 1.4°) had definite improvements in the larger-scale dynamic circulations over those at T42. The GFDL AM2 simulation at higher resolutions was shown to more accurately depict the East Asian frontal systems and the synoptic disturbances that propagate along the front (Lau andPloshay, 2009). Shaffrey et al. (2009) compared coupled simulations of the HiGEM ($0.83^{\circ}\times1.25^{\circ}$) and HadGem ($1.25^{\circ}\times1.875^{\circ}$) models developed at the UK Met.

Office and noted that the increased resolution gave better results in almost all aspects. Kinter et al. (2012) have shown the benefits of higher resolution in global atmospheric model simulations of several features of climate variability.

All the above studies used a single model at various resolutions. Here we cannot evaluate the same model at different resolutions, but rather we examine multiple models in a statistical sense since resolution difference among models is only one of many other model differences as in Walsh et al. (2012). Also in the relatively flat central and southeastern U.S., to what extent model resolution can improve model skills has been less studied. Fig. 11 shows the model bias in trend defined as the difference between the modeled and observed trends in the SE region. Generally, the models of higher resolutions showed smaller biases (for the 50-year period). The model biases tend to decrease with increasing resolution only over the medium range (110-180 grid points, roughly 2.5-1.5°) for both temperatures in winter and summer. The skill improvement as resolution increases is most evident for summer during the latter half of the 20th century, emphasizing the need for resolving local convection.

One feature of resolution is that the finest (resolution) models tend to have smaller bias spread among them. The four highest resolution models on the right (Fig. 11) are always near their respective trend lines. On the other hand, the coarse models tend to have larger spread in biases, although some have quite low biases. It also should be pointed out that those models of the same resolution can give diverse biases because of different model formulations.

The inter-model variability is quantified in Fig. 12. All the model medians are positive for both periods in winter and summer.

Tmax is more dispersed than Tmin, especially on the 100-y scale in summer when the middle 50 percentile is about double of Tmin as

seen in Fig. 11. On the 100-year scale, the winter middle 50 percentile covers only 0.05 °C dec⁻¹ for Tmin and 0.10 °C dec⁻¹ for Tmax. On the 50-year scale, summer warming is more than winter. Trends in most cases contain large positive outliers. The skews are generally small with varying swings.

c. Intra-model variability – internal dynamics

This sub-section will compare results within individual models that have multiple member realizations. Since the model's integration physics (and numerics) and external forcing remain the same, different initial conditions only represent internal variability or model noise. In the CMIP5 experimental design, individual members are named drNiMpL where the triad (N, M, L) denotes ways in which each initial condition is formed. N denotes different starting times from the same realistic time series; M, the initializing method; and P, the way of physical perturbation. All models, but two, have only varying N, i.e., changing only in starting times.GISS-E2-H and GISS-E2-Rruns have members with two ways of varying initial conditions (Nand L). Figure 13 shows the Tmean trends during 1951-2000 simulated by all 15 ensemble members in GISS-E2-H. The three panels in a given row (e.g., r1i1p1-p3 on the top row) represent same initial time and initializing method, but with three different physical perturbations. Similarly, the five rows represent different initial times. The 15 members vary significantly, but some patterns are still identifiable. The physical perturbation method has larger impacts than the starting time². The middle column (L=2) tends to have sharp cooling comparable to the observed WH extent, but located too far west as compared with the observations. On the other hand, in the right column strong warming

² The extent of the member spread may have been underestimated in CMIP5 experiments since the great majority of the models used varying start time only, not the perturbation method.

occurred over the observed cooling region. The intra-model variability of GISS-E2-H is quantified in Fig. 14 (bottom) along with the other five models that have the most ensemble members. The percentile distributions showed more variability than the 25-model mean, with less spread, partly due to their smaller sample size (6-16).

d. External forcing

Globally, it is very likely that the climatic warming observed over the past decades is attributable to human influences, primarily to an increase in concentrations of well-mixed greenhouse gases (Meehl et al., 2004; IPCC, 2007). The anthropogenic signal was detected in each of 14regions of the globe except for one in central North America, although the results were more uncertain when anthropogenic and natural signals were considered together (Taylor et al., 2012). In order to attribute observed climate change to particular causes, it is essential to perform simulations of the historical period with only a subset of known forcing.

Like in CMIP3, CMIP5 designed the so-called attribution and detection experiments consisting of *historicalNat* and *historicalGHG*, among others. The *historical* (all forcing) runs presented so far impose changing conditions (consistent with observations), which include atmospheric composition (including CO₂), due to both anthropogenic and volcanic influences, solar forcing, emissions or concentrations of short-lived species and natural and anthropogenic aerosols or their precursors, and land use (Taylor et al., 2012). The natural forcing only experiment imposes natural variations (e.g., volcanoes and solar variability) evolving as in the *historical* run. Correspondingly, the GHG forcing only experiment includes greenhouse gas forcing alone evolving as in the *historical* run.

Whether the abnormal cooling in the central and southeastern U.S is caused by internal variability of the climate system or forced by external forcing is a long-standing issue (Wang et al., 2009; Meehl et al., 2012). If the cooling is transient internal, the WH regions would become warmer when the transient masking mechanism is gone in the future and the WH regions will "catch up" the missed warming (Kunkel et al., 2006). If on the other hand, they are a response to the global warming forced by an external forcing, it would likely continue to exist in the future. Several studies suggested the WHs are related to the PDO and AMO indices, an internal variation of the atmosphere-ocean coupled system (Kunkel et al., 2006; Wang, et al., 2009; Meehl et al., 2012). Others suggested that land surface processes and regional hydrological processes contribute to the cooling (Kalnay and Cai, 2003; Pan et al., 2004; Liang et. al., 2006). Here we analyze the CMIP5 attribution experiments that include *historicalNat* and *historicalGHG* experiments.

Fewer models carried out these attribution experiments with less ensemble members compared with the *historical* and *RCP4.5* runs. We evaluated 6 models with a single member: CCSM4, GFDL-ESM2M, GISS-E2-H, GISS-E2-R, MRI-CGCM3, and NorESM1-M. Figure 15 shows that natural forcing only has a cooling effect in the central and northern U.S. in summer on the century scale. The position matches quite well the observed CN WH. In the 2nd half of the 20th century, the northern tier of the U.S. cooled considerably. Conversely, GHG forcing only would make the central U.S. warmer, particularly during the latter half of the century in summer (Fig. 16). This suggests that GHGs would counteract the WH, rather than causing or enhancing it. The forcing difference between the *historical* and *historicalNat* should reflect land use evolution, among other factors. Interestingly, the difference showed a clear WH feature, especially in summer (Fig. 17). On the century scale, a large area of 0-0.05 °Cdec⁻¹ cooling over the southeastern-central U.S. resembles the observed central WH very well. On the 50-year scale, the cooling extent retreated to

the southeast coast. In winter, the difference between the all-forcing and natural forcing experiments showed a general N-S warming gradient, somewhat resembling the observed trend pattern in winter. The larger cooling difference between the two experiments in the whole 20th century, rather than the latter half-century, perhaps reflects larger land use change during the earlier decades. The larger impacts in summer, rather than winter, may be due to the larger roles that land surface processes play in the warm season due to greater evapotranspiration etc. than in winter. If this is the case, the summer WH in the central and southeastern U.S. in the 20th century is at least influenced by local/regional land surface processes, consistent with previous studies (Kalnay and Cai, 2003; Pan et al., 2004; Liang et al., 2006)

Figure 18 compares trends under different scenarios over different periods and seasons. The GHG forcing only has strong warming effects (0.12-0.23 °C dec⁻¹) that may have partly compensated for cooling effects from the natural forcing in the all forcing historical experiment. The historical experiment that incorporates both natural and anthropogenic forcing resulted in moderate warming as seen in the historical experiment.

5. Fate of warming hole in the 21st century as simulated in RCP4.5

This section discusses the *RCP4.5* simulations from 22 models with 63 ensemble members. One third (7) of the models had multiple members ranging from 3-15 (Table 1). Following the same averaging procedure as in the *historical* experiment, Fig. 19 shows the ensemble mean of the projected Tmean over the first half of the 21st century (2006-2055). During summer, the northern

section of the U.S. warmed more than both lower and higher latitudes. The largest warming is located in the Great Lakes across to the mountain region where Tmean would warm 0.4-0.5 °Cdec⁻¹. In winter, the strongest warming is over the northern U.S. all the way to the north with a magnitude of more than 0.65°C dec⁻¹. This warming pattern both in winter and summer are very similar to the simulated trend distributions for 1976-2000 (Figs. 5 and 6), the peak global warming period of the 20th century.

If we view model spread (maximum-minimum trends, contour lines in Fig. 19) as a measure of the uncertainty in the projection, generally the areas of large uncertainty tend to coincide with large trends themselves. Perhaps the ratio of trend to inter-model variance would be a better measure of model uncertainty. The highest ratios (or confidence) are over high latitudes in winter with large trends and slightly large spread (right panel) and the lowest confidence in summer over the U.S.-Mexico border, likely related to the complex topography in the region.

The warming is faster during the first half of the 21st century and then slows down after about the 2050s (Fig. 20), consistent with the leveling off of the atmospheric CO₂ concentration under the *RCP4.5* scenario. The diminishing warming and even cooling during the latter periods of the 21st century, suggest the likely return of the WH, considering the models' underestimation of WHs in the 20th century in the *historical* simulation.

The narrower spread of summer Tmean than winter is most likely due to the lesser variation in Tmin as in the 20th century simulation (Fig. 8). The model spread in *RCP4.5* is smaller than those in the *historical* runs, implying more agreements among the models. For the whole 92 years (2006-2097), the SE Tmean increases 2.3 °C with about 1.6°C in the first half.

During the first 50 years of the 20st century, the model ensemble mean showed 0.3-0.4°Cdec⁻¹warming over SE region, ranging from -0.01 (ACCESS) to 0.9 °C dec⁻¹ (Fig. 21). Tmax and Tmin warm at a similar rate, which differs from the 20th century simulations where Tmax rose slower than Tmin. In fact, the summer Tmax rises faster than Tmin, a phenomenon rarely observed.

6. Conclusions and Discussion

A total of 163 ensemble members of long-term simulations from 27 AOGCMs available in the *historical* suite and *RCP4.5* experiments are analyzed to examine the models' skill in reproducing the 20th century observed U.S. temperatures. The focus is on the southeast andcentral regions where abnormal cooling occurred despite the fact that global warming accelerated during the 20th century. To the author's knowledge, this study analyzed the largest number of AOGCM members to evaluate the collective skills of the climate models. Unlike most climate studies with this kind of model evaluation, we evaluated maximum and minimum (Tmax and Tmin) surface air temperatures separately rather than the mean of the two, although observational studies often separate the two temperatures. With the separation, more detailed physical and dynamical processes can be revealed. For example, daytime Tmax is more associated with land surface processes like evapotranspiration and land use types, while nocturnal Tmin should be more associated with large-scale dynamics such as advection.

We evaluated model skills in three periods, 1901-2000, 1951-2000, and 1976-2000, corresponding to the whole 20th century, the data rich period, and the peak global warming period, respectively. Over the 100-year period, the ensemble mean showed an area

of relatively less warming in the southeastern U.S., but over the 25-year period (1976-2000), models totally missed the central U.S. cooling, even in a relative sense (i.e., relatively less or lack of warming). In addition, Tmin in winter was better simulated than Tmax in summer when local forcing such as convection is strong. A subset of the six models with the finest resolution, reproduced the warming hole in the central U.S. reasonably well both in the whole and the 2nd half of the 20th century. As model horizontal resolution increases from 3.75° to 1°, the model bias in the southeastern U.S. decreases slightly, but fine resolution models tend to perform more consistently among models compared to the coarse models that fluctuate among models in biases.

Data coverage is relatively sparse before 1950, especially outside the U.S. when computing the global means. So we focus on the period 1951-2000 when most of the abnormal cooling occurred. For comparison, the projection is mostly evaluated in the first 50 years (2006-2055). For the second half the 20th century, the unbiased standard deviation (stdev) among the 25 models is around 0.12°C dec⁻¹ for both Tmax and Tmin as well summer and winter. We computed the intra-model variance of five models that have ≥6 members. The mean intra-model stdev varies from 0.06 °C dec⁻¹ in summer and 0.16 °C dec⁻¹ in winter. The large fluctuation in this subset may partly be due to smaller sample size. The overall intra- and inter-model variances are comparable, around 0.12 °C dec⁻¹. On the other hand, the bias (difference between modeled and observed trends) ranged from 0.45-0.7 °C dec⁻¹, nearly four times as large as the model spread. This suggests, in a statistical sense, that model simulated trends are far off from the observed. The observed trends are −0.7 °C dec⁻¹ for Tmax and 0.42 °C dec⁻¹ for Tmin, whereas most model simulated positive trends of 0.1-0.4 °Cdec⁻¹ (Fig. 9). By comparison, the projected trends during the next 50 years(2006-2055) is around 0.35 °C dec⁻¹, three times the model spread, which assures us certain confidence in model projections.

Kunkel et al.(2006) analyzed 55 ensemble members' simulations of the 20th century and concluded that seven of 55 members reproduced negative trends. In this study, we did not look into each individual member, but we found six out of 25 models (not members) reproduced negative trends as observed. Although the delineations of WH areas and model groups differ in the two studies, the percentages of negative trends simulated seem to point to a slight improvement of CMIP5 models over CMIP3 models.

The observed Tmax and Tmin showed different tracks. For example, summer Tmax decreased at 0.025 °C dec⁻¹ over the 20th century while Tmin increased at a similar rate, resulting in a small trend in Tmean. Over the latter half of the20th century, Tmax decreased twice as fast as Tmin, but the model simulated trend differences between Tmax and Tmin are very small with both warming, meaning that model's bias in trends more arises from misrepresenting Tmax trends.

The model spreads suddenly increased after around the 1970s, especially in summer, when global mean temperature started to rise rapidly after slightly cooling since the 1940s. While it is difficult to pinpoint exact causes for the spread increase, a couple of hypotheses can be offered here. The timing is close to the Great Shift of the Pacific SST oscillation around 1979 (Miller et al., 1994). Models might capture the shift at different times and thus result in varying temperature phases since previous studies found that the southeastern U.S. temperatures highly correlated to the PDO index (Wang, et al., 2009). Another possibility is that the Tmax trend really started to depart from the Tmin trend and from global means during this period. Models may respond to this separation differently, broadening the spread.

Whether the abnormal cooling is due to the atmospheric internal variability or external forcing is the focus of a number of studies (Robinson et al., 2002; Wang et al., 2009; Meehl et al., 20012). The historical suite experiments in CMIP5 consisting of all forcing (historical), GHG forcing only (historicalGHG), and natural forcing only (historical Nat) runs provide an opportunity to look into this issue. The GHG forcing has a warming effect in the central U.S., implying that the warming hole (WH) is not due to the GHG forcing. The difference between the all forcing and natural forcing only runs showed a well-defined cooling region resembling the WH location, implying that local and regional surface processes may contribute to the WH.

Model results suggest that the fate of central and southeast WHs would likely depend on the relative magnitudes of GHG forcing that contributes to warming and the natural forcing that contributes to cooling. If the GHG forcing is strong enough, the WHs may be likely to disappear in the future.

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Table and Figure captions

- Table 1. Characteristics of models participated in *historical* and *RCP4.5* experiments. Listed are 25 models in *historical* experiments
- and 23 in RCP4.5 experiment. The first number in the first column before the slash is model ID in historical and the second is model
- 484 ID in *RCP4.5* experiment.

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- used for weighted averaging in space.

- Fig. 4. Upper: Latitude-time cross section of linear trends of temperature anomaly along 95°W as defined in Fig. 2. Lower: time series
- 497 of PDO index based on the leading EOF amplitude.
- 498 Fig. 5. Twenty five model mean of linear trends of mean surface air temperature on 100-y, 50-y, 25-y time scales of the 20th century.
- Each model equally contributes to the ensemble mean regardless of whether they have multiple or single ensember members.
- Fig. 6. Twenty-five model mean of linear trends of maximum surface air temperature during the 1951-1975 and 1976-2000 periods,
- corresponding to peaking cooling periods over the sourtheastern WH and central WH, respectively.
- Fig. 7. Linear trends of mean surface air temperature during 1951-2000 periods computed only from six models of the highest
- resolutions (ACCESS, CanCSM, CCSM4, CNRMS, CSORO, and MRI-CGCM3), totaling 28 members.
- Fig. 8. Time series of SE WH surface temperature anomaly during summer (top) and winter (bottom) simulated by 25 models in the
- 505 historical experiment. The five curves of individual models are those with the largest numbers of ensemble members. The shaded
- areas bracket maximum and minimum trends among the 25 models. Values plotted are the anomalies from the 109 year (1901-2009)
- mean. The cosine of latitude is used for weighted averaging in space. A 7-year running mean in time was applied.
- Fig. 9. Linear trends of Tmax simulated by the 25 models during 1951-2000. For those models with multiple members, the panel is
- the average of all members of the model.
- Fig. 10. Trends of Tmax and Tmin over the southeast WH in summer and winter during 1901-2000 and 1951-2000 periods. The model
- IDs are listed in Table 1. The right most two dual-bars represent all model mean (M) and observation (O), respectively.

- Fig. 11. Scatter plot of model biases (modeled observed trends) versus model horizontal resolution expressed in grid points for 1901-
- 513 2000 (01-00) and 1951-2000 (51-00).
- Fig. 12. Statistics of 25-model simulated southeast WH temperature trends in summer and winter during the whole and half of the 20th
- 515 century. 100yS(W): 1901-2000 summer (winter); 50yS(W): 1951-2000 summer (winter).
- Fig. 13.Linear trends of Tmax simulated by the 15 individual members of GISS-E2-H model during 1951-2000. The triad of integers
- in ensemble member (i.e., panel) named NiMpL(N, M, L) denotes initial time, initiation method, and perturbation physics,
- 518 respectively.
- Fig. 14. Same as Fig. 12 but, for Tmax of individual models that have more than 8 ensemble members.
- Fig. 15. Six-model ensemble mean of linear trends of Tmean simulated in the historicalNat experiment in summer (left) and winter
- 521 (right) during 1901-2000 (top) and 1951-2000 (bottom).
- Fig. 16. Same as Fig. 15, but for *historicalGHG*.
- Fig. 17. Same as Fig. 15, but for the difference between all forcing (historical) and natural forcing only (historicalNat) experiments.
- Figure 18. Comparison of Tmean trends in the southeast WH under different scenarios for different durations in summer and winter.
- Fig. 19.Linear trend of Tmean during 2006-2055 averaged among 22 models with 63 members in the RCP4.5 experiment. The
- 526 contours are the inter-model spread.

Fig. 20. Time series of Tmean in the southeast WH simulated by 22 models in the *RCP4.5* experiment. The five curves of individual models are those with the largest numbers of ensemble members. The shaded areas bracket maximum and minimum values among the 22 models. The values plotted are anomalies from the 92-y mean (2006-2097) and the WH average is weighted using cosine of the latitude as the weight. A 7-year running mean in time was applied.

Fig. 21. Twenty-two model projected southeast WH temperature trend during 2006-2055. Model IDs are given in Table 1. The rightmost bars are the model mean.

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Model ID (hist/rcp4.	Model symbol	Model Center	Atm. Res. (lon. x lat.)	No of atm. layers		rs(RCP	Reference
1/1		CSIRO and BOM (Bureau of Meteorology, Australia	1.875 x 1.25	38	1		http://wiki.csiro.a u/confluence/displ ay/ACCESS
2/2		Beijing Climate Center, China Meteorological Administration, China	2.8 x 2.8	17	3	1	Wu et al.,2011
-/3		Beijing Normal University, Beijing, China	2.8x2.8	22	-	1	Ji Duoying (duoyingji@bnu.e du.cn)
3/4		Canadian Center for Climate Modeling and Analysis, Canada	2.8 x 2.8	22	5	5	Arora et al., 2011, Gent, et. al., 1998
4/5	CCSM4	National Center for Atmospheric Research, USA	1.25 x 1.0	17	6	5	Gent et al., 2011
5/6	CM5.1	National Centre for Meteorological Research, France	1.4 x 1.4	17	8	1	Voldoire et al., 2011

6/7	CSIRO- MK3.6	CSIRO and Climate Change Centre of Excellence, Australia	1.8 x 1.8	18	10	10	Rotstayn et al., 2010
7/-	FGOALS- S2.0	LASG, Institute of Atmospheric Physics, Chinese Academy of Sciences	2.8 x 1.6	17	3	-	Zhou et al., 2005
8/-	GFDL- CM3	NOAA Geophysical Fluid Dynamics Laboratory, USA	2.5 x 2.0	23	4	-	Donner et al., 2011
9/-	GFDL- ESM2G	As in GFDL-CM3	2.5x2.0	23	1	-	Dunne, et al., 2012
10/8	GFDL- ESM2M	As in GFDL-CM3	2.5x2.0	23	1	1	As in GFDL-CM3
11/-	GISS-E2- H	As in GFDL-CM3	2.5 x 2.0	17	15	-	Schmidt et al., 2006
12/-9	GISS-E2- R	As in GISS-E2-H	2.5 x 2.0	17	16	15	Schmidt et al., 2006
13/-	HADCM3	Met Office Hadley Centre, UK	2.5x3.75	23	1	-	Collins, et al., 2001
14/10	HadGEM 2-AO	As in HADCM3	1.8 x 1.25	23	1	1	Jones et al., 2011
15/11	HadGEM 2-AO	As in HADCM3	1.8 x 1.25	23	1	1	As in HADCM3

16/12	HadGEM 2-ES	As in HADCM3	1.8 x 1.25	23	1	4	As in HADCM3
-/13	inmcm4		1.5 x 2.0	17	-	1	Volodin, et al., 2010
17/14	IPSL- CM5A- LR	Institut Pierre Simon Laplace, France	3.75 x 1.8	17	5	4	Hourdin et al., (2011)
18/15	IPSL- CM5A- MR	As in IPSL-CM5A-LR	3.75 x 1.8	17	2	1	As in IPSL- CM5A-LR
-/16	IPSL- CM5B-LR	As in IPSL-CM5A-LR	3.75 x 1.8	17	-	1	As in IPSL- CM5A-LR
21/17	MIROC5	Atmos and Ocean Res. Inst., Agency for Marine- Earth Sci & Tech, Japan	1.4 x 1.4	17	4	3	Watanabe et al., 2010
19/18	MIROC- ESM	As in MIROC5	2.8x2.8	17	1	1	As in MIROC5
20/19	MIROC- ESM- CHEM	As in MIROC5	1.4 x 1.4	17	1	1	As in MIROC5
22/20	MPI- ESM-LR	Max Planck Institute for Meteorology, Germany	1.9 x 1.9	25	3	3	Raddatz et al., 2007
23/-	MPI- ESM-P	As in MPI-ESM-LR	1.9 x 1.9	25	2	-	As in MPI- ESM-LR

24/21	MRI- CGCM3	Meteorological Research Institute, Japan	1.1 x 1.1	23	4	1	Yukimoto et al., 2011
25/22	NorESM1 -M	Norwegian Climate Center, Norway	2.5 x 1.9	17	1	1	N/A

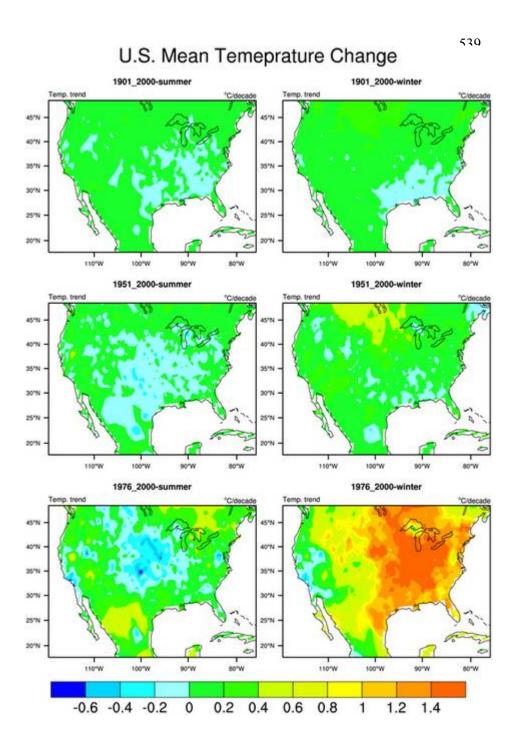


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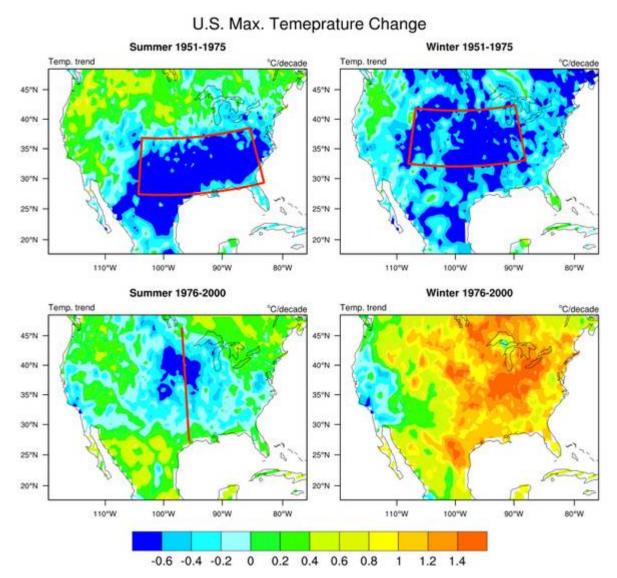


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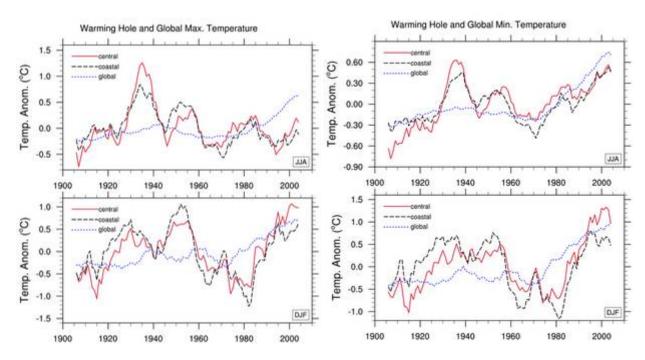


Fig. 3. Time series of surface Tmax/Tmin in the WH regions as compared with the global land means, contrasting distinctions between Tmax vs. Tmin, winter vs. summer, and central vs. southeast coastal regions. The central and coastal regions are identified in Fig. 2. The global means are over land only. Values plotted are the anomalies from the 109 y (1901-2009) mean. The cosine latitude is used for weighted averaging in space.

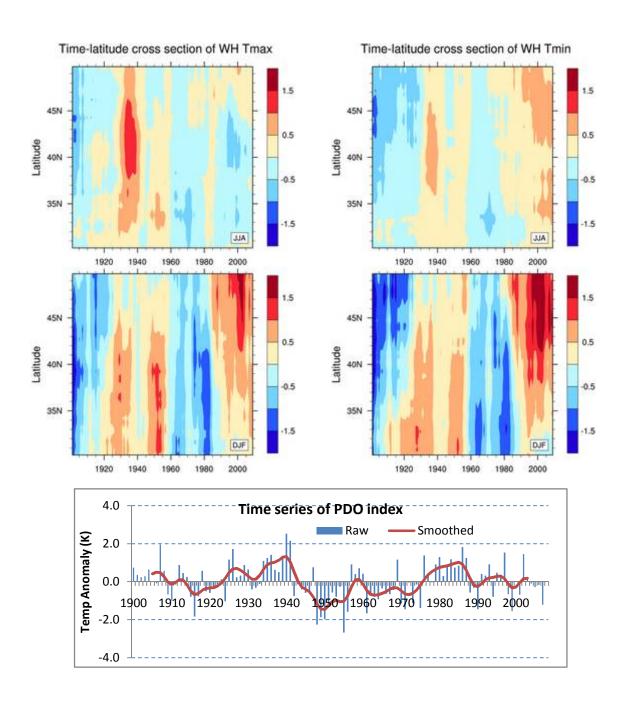


Fig. 4. Upper: Latitude-time cross section of linear tends of temperature anomaly along 95°W as defined in Fig. 2. Lower: time series of PDO index based on the leading EOF amplitude.

Trend of Mean Surface Temperature - 25 model ensemble mean

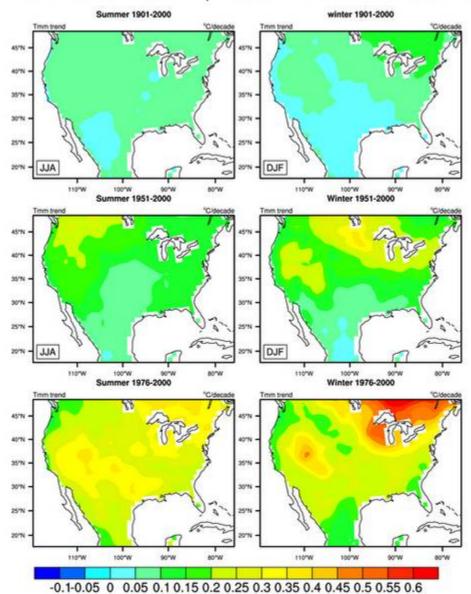


Fig. 5. Twenty five model mean of linear trends of mean surface air temperature on 100-year, 50-year, 25-year time scales of the 20th century. Each model equatly contritues to the ensemble mean regardless whether they have multiple or single ensember members.

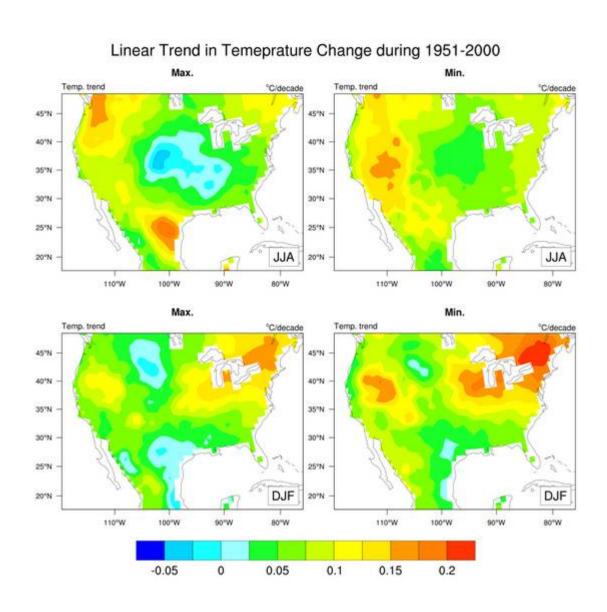


Fig. 7. Linear trends of mean surface air temperature during 1951-2000 periods computed only from six models of highest resolutions (ACCESS, CanCSM, CCSM4, CNRMS, CSORO, and MRI-CGCM3), totaling 28 members.

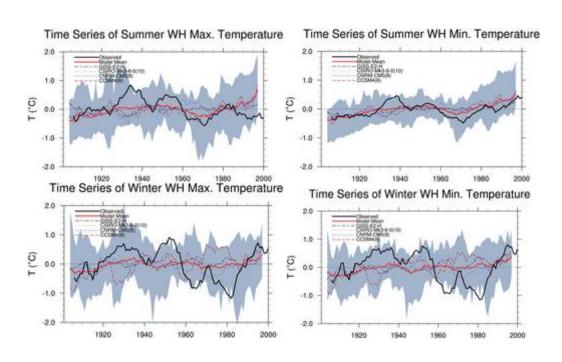


Fig. 8. Time series of SE WH surface temperature anomaly during summer (top) and winter (bottom) simulated by 25 models in the historical experiment. The five curves of individual models are those with the largest numbers of ensemble members. The shaded areas bracket maximum and minimum trends among the 25 models. Values plotted are the anomalies from the 109 year (1901-2009) mean. The cosine of latitude is used for weighted averaging in space. A 7-year running mean in time was applied.

ftp://ftp.eas.slu.edu/pub/panz/proj_26new.pngFig. 9. Linear trends of Tmax simulated by the 25 models during 1951-2000. For those models with multiple members, the panel is average of all members of the model.

Linear Trends of Temperature in WH Region Summer, 1901-2000 winter, 1901-2000 0.16 0.20 0.12 0.08 Trend(°C) rend(°C) 0.10 0.04 0.00 0.00 -0.04 -0.08-0.10 -0.12 1234567891011121314151617181920212232425 MO 1234567891011121314151617181920212232425 MO Model ID Model ID Summer, 1951-2000 Winter, 1951-2000 0.60 0.30 0.30 0.00 Trend(°C) Trend(°C) 0.00 -0.30-0.30 -0.60 -0.60 1 2 3 4 5 6 7 8 9101 1121314151617181920212232425 MO 1 2 3 4 5 6 7 8 9101 1121314151617181920212232425 MO Model ID Model ID

Fig. 10. Trends of Tmax and Tmin over the southeast WH in summer and winter during 1901-2000 and 1951-2000 periods. The model IDs are listed in Tab. 1. The right most two dual-bars represent all model mean (M) and observation (O), respectively.

Minimum T

Maximum T

596

597

598

599

600

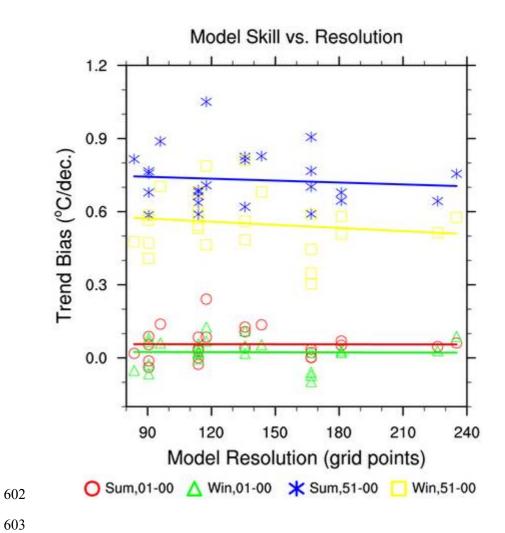


Fig. 11. Scatter plot of model biases (modeled – observed trends) versus model horizontal resolution expressed in grid points for 1901-2000 (01-00) and 1951-2000 (51-00).

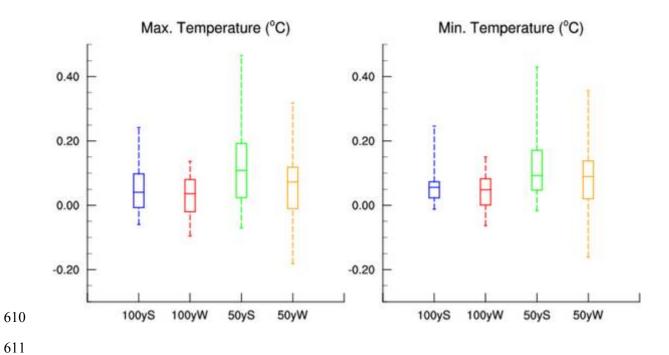


Fig. 12. Statistics of 25-model simulated southeast WH temperature trends in summer and winter during the whole and half of the 20th century. 100yS (W): 1901-2000 summer (winter); 50yS (W): 1951-2000 summer (winter).

Summer Mean Temeprature Change during 1951-2000 (°C/dec.)

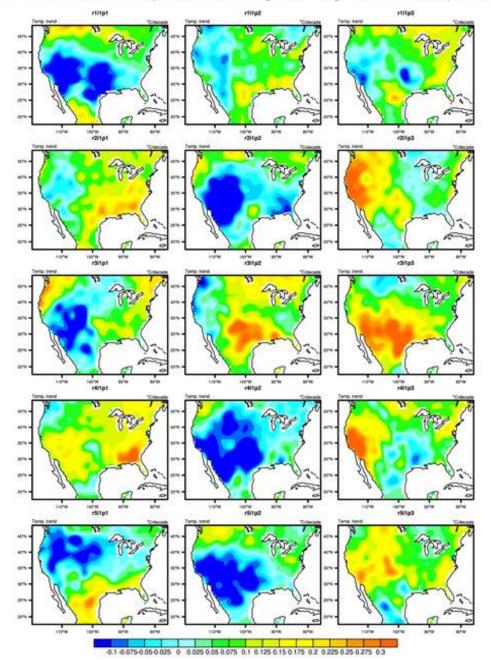


Fig. 13. Linear trends of Tmax simulated by the 15 individual members of GISS-E2-H model during 1951-2000. The triad of integers in ensemble member (i.e., panel) name rNiMpL (N, M,L) denotes initial time, initiation method, and perturbation physics, respectively.

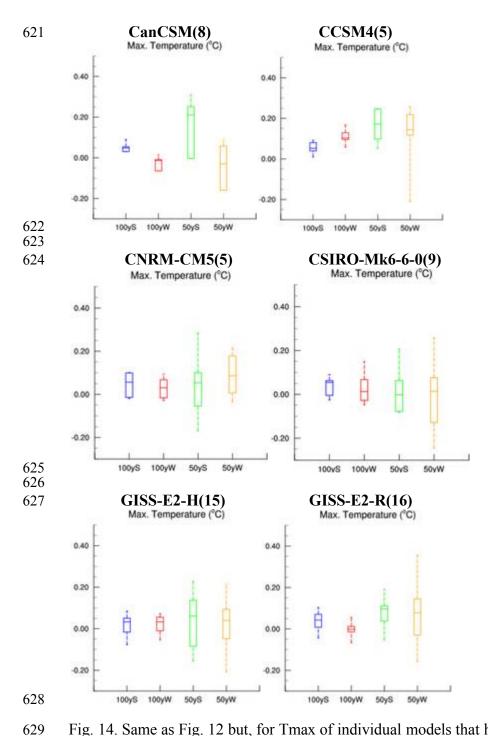


Fig. 14. Same as Fig. 12 but, for Tmax of individual models that have more than 8 ensemble members.

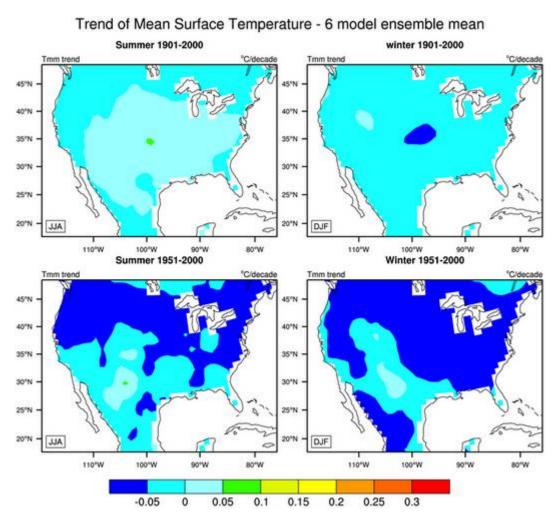


Fig. 15. Six-model ensemble mean of linear trends of Tmean simulated in the *historicalNat* experiment in summer (left) and winter (right) during 1901-2000 (top) and 1951-2000 (bottom).

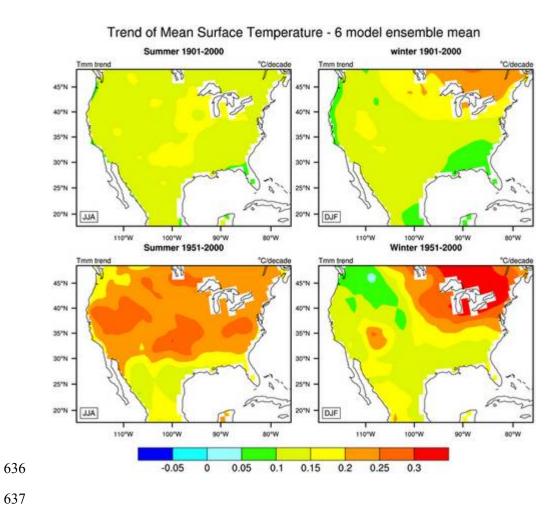


Fig. 16. Same as Fig. 15, but for historical GHG.

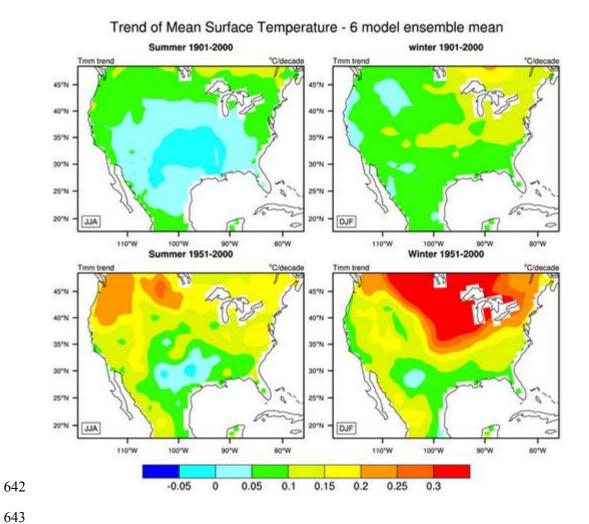


Fig. 17. Same as Fig. 15, but for the difference between all forcing (*historical*) and natural forcing only (*historicalNat*) experiments.



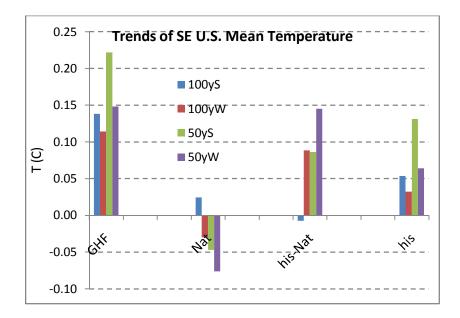


Figure 18. Comparison of Tmean trends in the southeast WH. under different scenarios for different durations in summer and winter.

Trend of Daily Mean Temperature during 2006-2055

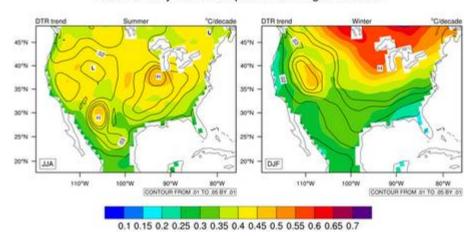
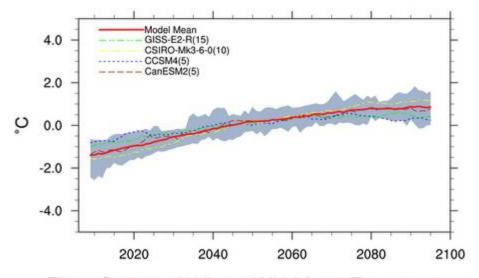


Fig. 19. Linear trend of Tmean during 2006-2055 averaged among 22 models with 63 members in *RCP4.5* experiment. The contours are the inter-model spread.

Time Series of Summer WH Mean Temperature



Time Series of Winter WH Mean Temperature

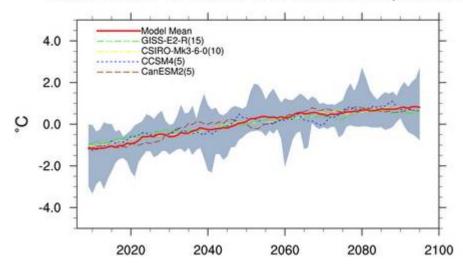


Fig. 20. Time series of Tmean in the southeast WH simulated by 22 models in the *RCP4.5* experiment. The five curves of individual models are those with largest numbers of ensemble members. The shaded areas bracket maximum and minimum values among the 22 models. The values plotted are anomalies from the 92-year mean (2006-2097) and the WH average is weighed using cosine latitude as the weight. A 7-year running mean in time was applied.

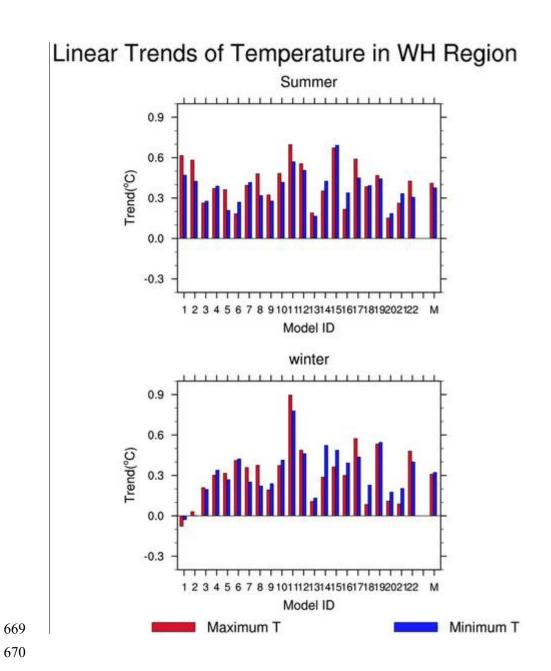


Fig. 21. Twenty-two model projected southeast WH temperature trend during 2006-2055. Model IDs are given in Table 1. The rightmost bars are the model mean.